

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-01-0188	
The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden to Department of Defense, Washington Headquarters Services Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
<b>PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.</b>					
1. REPORT DATE (DD-MM-YYYY) 28-10-2002		2. REPORT TYPE Journal Article		3. DATES COVERED (From - To)	
4. TITLE AND SUBTITLE Micromachined Fabry-Perot interferometer for motion detection				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHORS Richard L. Waters <sup>a</sup> Monti E. Aklufi				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <sup>a</sup> SSC San Diego 53560 Hull St. San Diego, CA 92152-5001				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
20061130042					
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution is unlimited.					
13. SUPPLEMENTARY NOTES This is the work of the United States Government and therefore is not copyrighted. This work may be copied and disseminated without restriction. Many SSC San Diego public release documents are available in electronic format at: <a href="http://www.spawar.navy.mil/sti/publications/pubs/index.html">http://www.spawar.navy.mil/sti/publications/pubs/index.html</a>					
14. ABSTRACT  The monolithic integration of a Fabry-Perot interferometer and a (100) silicon photodiode is reported for use as a highly sensitive transduction method in the detection of minute displacements of a proof mass attached to a spring. The combination results in a compact device with active transistor-like amplification and minimal parasitic elements. The transducer is fabricated using standard surface micromachining techniques. The finesse of the optical cavity, incident optical power, and geometry of the mirror and support structure control the sensitivity of the transducer. A transduction of more than 2285 A/m, percent change in transmission with displacement of 3%/nm, small-signal voltage amplification of 460 V/V output resistance of 100 MΩ and transconductance of 1 mA/V have been obtained thus far for a single device without amplification.					
Published in <i>Applied Physics Letters</i> , Volume 81, Number 18, October 28, 2002.					
15. SUBJECT TERMS Fabry-Perot interferometer motion detection transducer					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT	b. ABSTRACT	c. THIS PAGE			Richard Waters, Code 2876
U	U	U	UU	3	19b. TELEPHONE NUMBER (Include area code) (619) 553-6404

# Micromachined Fabry–Perot Interferometer for motion detection

Richard L. Waters<sup>a)</sup> and Monti E. Aklufi

*Integrated Circuit Fabrication Facility, 2876, SPAWAR Systems Center, San Diego, California 92152-5001*

(Received 14 May 2002; accepted 10 September 2002)

The monolithic integration of a Fabry–Perot interferometer and a (100) silicon photodiode is reported for use as a highly sensitive transduction method in the detection of minute displacements of a proof mass attached to a spring. The combination results in a compact device with active transistor-like amplification and minimal parasitic elements. The transducer is fabricated using standard surface micromachining techniques. The finesse of the optical cavity, incident optical power, and geometry of the mirror and support structure control the sensitivity of the transducer. A transduction of more than 2285 A/m, percent change in transmission with displacement of 3%/nm, small-signal voltage amplification of 460 V/V, output resistance of 100 M $\Omega$  and transconductance of 1 mA/V have been obtained thus far for a single device without amplification. © 2002 American Institute of Physics. [DOI: 10.1063/1.1518557]

DISTRIBUTION STATEMENT A  
Approved for Public Release  
Distribution Unlimited

Numerous transduction techniques have been investigated for detecting minute displacement of a proof mass attached to a spring for acoustic, vibration, temperature, and inertial sensors. These techniques include the measurement of charge across a variable capacitor,<sup>1,2</sup> change in resistance of a hinge using piezoresistive materials,<sup>3,4</sup> change in optical reflection from a Bragg grating,<sup>5</sup> and change in tunneling current across a well-controlled airgap.<sup>6–9</sup> Of those techniques, tunneling-based transducers offer the best promise for realizing highly sensitive displacement sensors due to the exponential sensitivity of the tunneling phenomena with electrode separation. Currents in a tunnel transducer are usually limited to (1–2) nA due to the small emitting area, usually one atom on the surface of each electrode or the superposition of a small number of atoms with varying separation. Tunneling currents also tend to possess a large flicker noise component especially as the tunneling current increases.<sup>7</sup> In addition, thermal expansion and thermal coefficient mismatches as well as variability in tunneling barrier heights from one device to another have been reported.<sup>8</sup>

In this letter, we report on the monolithic integration of a Fabry–Perot interferometer and a (100) silicon photodiode, in the initial stages of development, that is small, lightweight, and surpasses the raw sensitivity of tunneling-based transducers given in (amps/meter). The reported design is compact and thus has minimal parasitic elements, possesses transistor-like characteristics for use as an active pickoff, and can be arrayed to increase the sensitivity/frequency range or decrease the noise floor of the composite system. The fabrication and structure of the Fabry–Perot/photodetector combination is similar to those reported for Fabry–Perot cavities incorporated with III–V light emitting diodes.<sup>10–13</sup>

A Fabry–Perot interferometer is an extremely sensitive optical device typically used to measure the fine spectral nature of materials with sub-Angstrom wavelength resolution. A Fabry–Perot interferometer is comprised of two optically flat, parallel, semitransmissive mirrors separated by some distance,  $y$ . The same sensitivity used for wavelength reso-

lution can be used to detect motion of one mirror with respect to a second reference mirror when monochromatic light is incident normal to the surface of the resonant cavity. For the case where both mirrors are identical, and no absorption occurs within the mirrors or the medium separating the mirrors, an expression for the photogenerated current within the diode can be written as

$$I_{ph} = \mathcal{R} P_{in} A \frac{1}{1 + F \sin^2 \left[ \frac{2\pi}{\lambda} [n_1 y(a) + n_{ox} d_{ox}] \right]}, \quad (1)$$

where  $\mathcal{R}$  is the responsivity of the photodiode in A/W,  $P_{in}$  is the incident optical power density normal to the surface of the resonant cavity,  $A$  is the area of the photodiode,  $F$  is the finesse of the optical cavity and is related to the reflectivity of the mirrors,  $\lambda$  is the incident wavelength,  $n_1$  and  $y(a)$  are the index of refraction and acceleration dependent air-gap of the medium between the mirrors, and  $n_{ox}$  and  $d_{ox}$  are the index of refraction and thickness of the upper mirror. In the derivation of Eq. (1), the upper mirror was assumed to be a uniform silicon dioxide membrane. By taking the derivative of the photogenerated current, Eq. (1), with respect to input acceleration and rewriting the result in terms of  $I_{ph}$ , Eq. (2) obtains:

$$\frac{dI_{ph}}{da} \propto \frac{I_{ph}^2 F}{\mathcal{R} P_{in} \omega_0^2}, \quad (2)$$

where  $\omega_0$  is the resonant frequency of the mechanical structure and is related to the ratio of upper mirror mass and effective spring constant. The sensitivity of the photogenerated current to changes in input acceleration is dependent upon the photogenerated current,  $I_{ph}$ , finesse of the cavity, and resonant frequency. Increasing either the input power or finesse of the cavity or decreasing the resonant frequency will increase the acceleration sensitivity of this transducer. For a nonideal mirror that may have a finite thickness and absorption, a characteristic matrix, similar to that obtained for a transmission line structure, needs to be solved for each layer comprising the mirror. Equations (1) and (2) are ap-

<sup>a)</sup>Electronic mail: watersrl@spawar.navy.mil

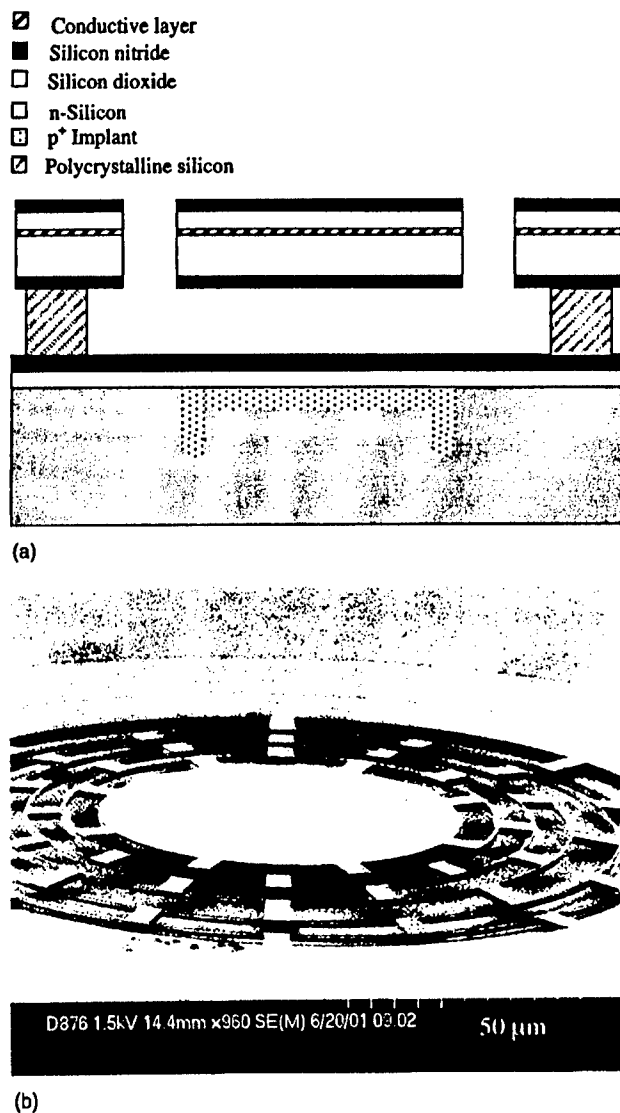


FIG. 1. (a) Is a cross-sectional drawing of the transducer; (b) is a SEM micrograph of an example sensor structure.

proximations and meant only to give a tractable expression and insight into the behavior of this transducer.

The sensors were fabricated using surface micromachining techniques at the Space and Naval Warfare Systems Center (SPAWAR SYSCEN), San Diego's Integrated Circuit Fabrication Facility (ICFF). Phosphorus-doped (100) bulk silicon with a resistivity of  $\rho = 10\text{--}30\ \Omega\text{ cm}$  served as the starting material. Boron implantation was performed to form a shallow one-sided junction so to collect as much transmitted light into the depletion region of the photodiode as possible thus increasing the responsivity,  $\mathcal{R}$ , (A/W). A series of well-controlled silicon dioxide and silicon nitride depositions, in combination with dry/wet etching, were used to form the dielectric upper and lower mirrors of the final structure shown in Fig. 1(a). A thin semitransparent conductive layer was incorporated into the upper mirror to allow for electrostatic control of the air-gap spacing between the upper and lower mirrors. The sacrificial layer between the upper and lower mirrors was  $0.8\ \mu\text{m}$  of undoped polycrystalline silicon that was later removed using a highly selective anisotropic tetramethyl ammonium hydroxide etch with silicic

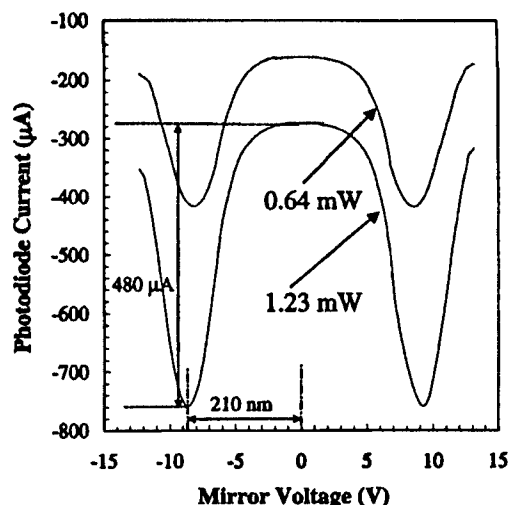


FIG. 2. Photogenerated current as a function of the applied voltage (force) between the mirrors using two different input power densities of 625 and 325  $\text{kW/m}^2$ , photodiode diameter of  $50\ \mu\text{m}$ , and measured responsivity of  $0.65\ \text{A/W}$ . As the optical power increases so too does the maximum slope and hence displacement sensitivity. From the figure, a lower bound for the maximum transduction of  $2285\ \text{A/m}$  was obtained along with a displacement sensitivity of  $3\%/ \text{nm}$ .

acid at  $80^\circ\text{C}$ . Figure 1(b) is a SEM micrograph detailing the structure of the upper mirror as well as an example spring geometry. In total, more than 140 accelerometers with unique spring designs were fabricated on a  $9\text{ mm} \times 9\text{ mm}$  die. The polycrystalline silicon support structure/pedestal, shown in Fig. 1(a), is not viewable in the SEM micrograph of Fig. 1(b).

The final sensor includes three contacts corresponding to the upper mirror, photodiode anode, and photodiode cathode. The anode acts as the lower mirror electrode. Potentials applied between the upper mirror and anode results in an electrostatic force that can be used to control the air-gap separation in a force rebalance mode and to modulate the photocurrent for use as an active optical element.

Electro-optical measurements were taken using an HP4156B parameter analyzer in conjunction with a Sanyo DL830 semiconductor laser operating at a center wavelength of approximately  $830\text{ nm}$  and a maximum output power of  $150\text{ mW}$ . Typical measured dark current of the photodiodes was  $1\text{ pA}$  at  $-1\text{ V}$  and  $10\text{ pA}$  at  $-60\text{ V}$  with an output resistance greater than  $100\text{ M}\Omega$ . Figure 2 is a plot of the photogenerated current as a function of the applied electrostatic force between the upper and lower mirrors for two different optical power densities,  $325$  and  $625\text{ kW/m}^2$ , respectively, incident on a sensor with a  $50\ \mu\text{m}$  diameter diode. It can be observed that in the absence of detector saturation, an increase in optical power causes a corresponding linear increase in differential gain consistent with Eq. (2). It should also be noted that the maximum transduction, i.e., maximum slope in Fig. 2, could not be extracted due to feedback from the  $n$ -type substrate affecting the ideal voltage-displacement calculations. Instead, a lower bound for transduction was measured by taking the change in photocurrent of  $480\ \mu\text{A}$  between a maximum and a minimum transmission peak and dividing by the corresponding change in displacement of  $\lambda/4$  or  $210\text{ nm}$  resulting in a lower limit transduction of  $2285\text{ A/m}$ . This represents a high transduction factor for a MEMS-

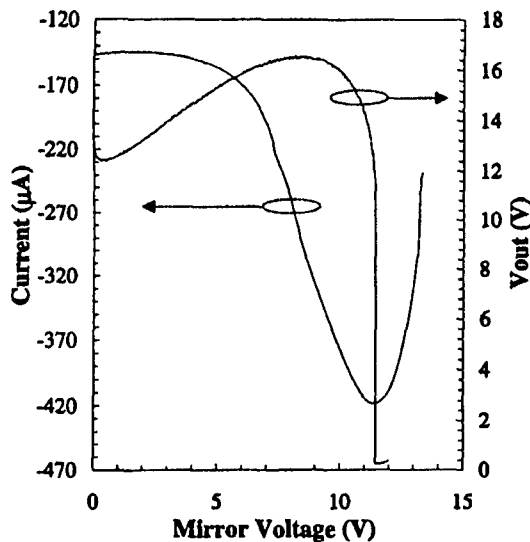


FIG. 3. Output voltage vs input voltage applied as measured across the mirrors using a current source of  $-420 \mu\text{A}$  as the load. Due to the transistor action of the sensor and high output resistance of the photodiode, large voltage gains can be achieved.

based motion detector without the use of amplification circuitry and is more than 2 orders of magnitude larger than the  $9.4 \text{ A/m}$  reported for tunneling based transducers.<sup>12</sup> The percent change from maximum transmission per nanometer of displacement can be calculated from Fig. 2 to be  $3\%/nm$ . The maximum transconductance as measured from Fig. 2 is  $1 \text{ mA/V}$ .

Theory, as outlined in Eqs. (1) and (2), suggests that the transduction of  $2285 \text{ A/m}$  can be increased further. Assuming a finesse of 10, a responsivity of  $0.67 \text{ A/W}$  at  $830 \text{ nm}$ , a photodiode radius of  $50 \mu\text{m}$ , an input optical power density of  $500 \text{ kW/m}^2$  corresponding to  $4 \text{ mW}$  of incident optical power and differential transmission of  $2\%/nm$  results in a sensitivity of  $5360 \text{ A/m}$ . This value can be increased by increasing the reflectivity of the mirrors through the deposition of additional dielectric stacks thereby increasing the finesse, increasing the photodiode radius or by increasing the input optical power to the point of saturating the photodetector.

To extract the small-signal amplification of the sensor, a current sink of  $420 \mu\text{A}$  was applied to the sensor as a load. Figure 3 is a plot of the measured voltage across the photodiode,  $y$ -2 axis, versus the input voltage across the mirrors. The maximum measured small-signal amplification on this device was  $460 \text{ V/V}$  with further amplification being limited by the input voltage resolution of the HP4156B parameter analyzer.

The sensitivity of the sensor can be calculated by examining the noise sources present within the sensor. In the absence of Brownian motion, i.e., a vacuum, shot noise of the photodetector/laser combination and resistive noises are dominant. The laser will also exhibit an additional random induced noise (RIN) but it is expected that for sufficient values of photogenerated current the shot noise of the photodetector will dominate and swamp out the laser RIN. The resistive noise is constant given a fixed temperature and determined by the value of the load resistor attached to the sensor when operated as an inverting amplifier. Meanwhile, the shot noise increases with the square root of the input

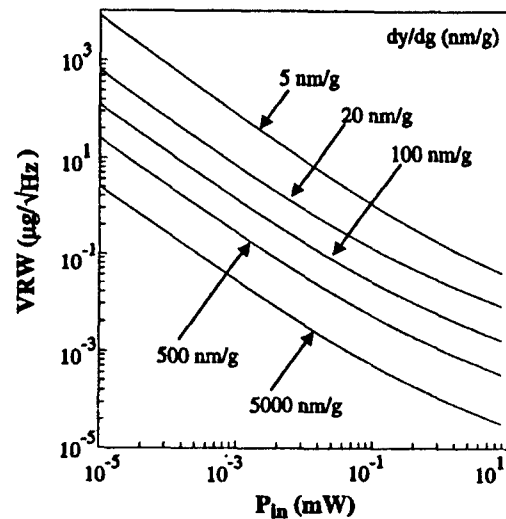


FIG. 4. Plot of velocity random walk (VRW) vs the input optical power for several displacement sensitivities  $dy/dg$  in units of  $(nm/g)$ . The curves were calculated using  $T=300 \text{ K}$ ,  $R_p=1 \text{ k}\Omega$ ,  $\mathcal{R}=0.67 \text{ A/W}$ ,  $A=7.85 \times 10^{-9} \text{ m}^2$ , and a value of  $2\%/nm$  for the change in transmission with displacement.

optical power. The velocity random walk (VRW), a figure of merit for accelerometers, is given in Eq. (3) as the reciprocal of the signal to noise ratio (SNR) which includes both the resistive and shot noise contributions:

$$\text{VRW} = \frac{\sqrt{4k_B T R_p} + \sqrt{2q P_{in} A \mathcal{R} R_p}}{2 \left( P_{in} A \mathcal{R} \frac{dy}{dg} \frac{dT_r}{dy} \right) R_p}, \quad (3)$$

where  $k_B$  is Boltzmann's constant,  $T$  is the temperature,  $g$  is input acceleration normal to the surface of the mirror ( $1g = 9.8 \text{ m/s}^2$ ),  $A$  is the area of the photodiode,  $R_p$  is the load resistance,  $dT_r/dy$  is the change in transmission with mirror spacing and is approximately equal to  $2\%/nm$  for a finesse of 10, and  $dy/dg$  is the mechanical sensitivity of the sensor given in  $(nm/g)$ . Figure 4 shows the velocity random walk (VRW) as a function of the input optical power for various displacement sensitivities given in  $(nm/g)$ . Figure 4 was generated assuming a temperature,  $T$ , of  $300 \text{ K}$ , a photodiode diameter of  $100 \mu\text{m}$ , a load resistor value,  $R_p$ , of  $1 \text{ k}\Omega$  and a responsivity,  $\mathcal{R}$ , of  $0.67 \text{ A/W}$  at a wavelength of  $830 \text{ nm}$ . The displacement sensitivity,  $dy/dg$ , given in  $(nm/g)$  is determined by the geometrical structure, mass and spring constant, all of which can be adjusted for a particular application. For example, a resonant frequency of  $500 \text{ Hz}$  results in a displacement sensitivity of  $\sim 100 \text{ nm/g}$  ( $9.83/\omega_0^2$ ), and according to Fig. 4, a corresponding VRW of  $80 \text{ ng}/\sqrt{\text{Hz}}$  at an input power level of  $100 \mu\text{W}$ .

In conclusion, we reported on the initial development of a three-terminal electro-optical transducer possessing transistor-like characteristics based upon the monolithic integration of a Fabry-Perot interferometer and a photodiode on a  $(100)$  silicon substrate. The transduction mechanism was shown to have raw sensitivity greater than  $2000 \text{ A/m}$ . Initial experimental electro-optical measurements were shown demonstrating the viability of this transduction mechanism in realizing high sensitivity motion detectors.